

01-04-02

PTO/SB/05 MODIFIED BY AT&T CORP.

UTILITY

PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

2000-0534

Total Pages

First Named Inventor or Application Identifier

Arvind S Chakravarti et al.

Express Mail Label No.

EV007410504US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 10]
(preferred arrangement set forth below)
- Descriptive title of invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 2]
4. Oath or Declaration [Total Pages 7]
- a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 17 below].
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
5. ☐ Application Data Sheet. See 37 CFR 1.76

6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy (CRF)
 - b. ☐ Specification Sequence Listing on: ☐ CD-ROM or CD-R
(2 copies); or ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment 7 pages
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
16. ☒ Other: Nonpublication Req. under 35 U.S.C.
122 (b) (2) (B) (i)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No:

Prior application information: Examiner:

Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

Customer Number - 26652

or ☐ Correspondence address below

| | | | | | |
|---------|--------------------------|-------|------------|----------|--------------|
| NAME | Samuel H. Dworetzky | | | | |
| ADDRESS | AT&T CORP. P.O. Box 4110 | | | | |
| CITY | Middletown | STATE | New Jersey | ZIP CODE | 07748-4110 |
| COUNTRY | United States of America | | | FAX | 732-368-6932 |

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | | |
|-----------|----------------|--------|-------|----------|
| NAME | Robert B. Levy | Reg. # | 28234 | |
| TELEPHONE | 908-221-5714 | | | |
| SIGNATURE | | | DATE | 11/07/01 |

"Express Mail" Mailing Label Number EV007410504US

Date of Deposit 11/07/01

I hereby certify that this Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington D.C., 20231

Antoinette Dozier
(Printed Name of Person Mailing Paper)

Antoinette Dozier
(Signature of Person Mailing Paper)

FEE TRANSMITTAL

Patent Fees are subject to annual revision.

Complete if Known

Application Number

Filing Date

First Named Inventor

Arvind S Chakravarti et al.

Examiner Name

TOTAL AMOUNT
OF PAYMENT

\$780

Group/Art Unit

Attorney Docket No.

2000-0534

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

FEE CALCULATION**1. FILING FEE**

| Large Fee Code | Entity Fee(\$) | Fee Description | Fee Paid |
|----------------|----------------|--|----------|
| 101 | 740 | Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee | 740 |
| 106 | 330 | Design Filing Fee | |
| 109 | 740 | Reissue Filing Fee | |
| 114 | 160 | Provisional Filing Fee | |

SUBTOTAL (1) 740**2. CLAIMS**

- ☐ Filing Under 37CFR 1.53 (b)
☐ CPA Under 37CFR 1.53 (d)
☐ Amendment

Extra Claims Fee from below Fee Paid

| | | | | | | | |
|---------------------------|----|--------|---|---|----|---|---|
| Total | 14 | - 20 = | 0 | X | 18 | = | 0 |
| Ind. | 2 | - 3 = | 0 | X | 84 | = | 0 |
| Multiple Dependent Claims | | | | | | | 0 |

| Large Fee Code | Entity Fee(\$) | Fee Description |
|----------------|----------------|--|
| 103 | 18 | Claims in excess of 20 |
| 102 | 84 | Independent Claims in excess of 3 |
| 104 | 280 | Multiple Dependent Claims |
| 109 | 84 | ** Reissue independent claims over original patent |
| 110 | 18 | ** Reissue claims in excess of 20 and over original patent |

** or number previously paid, if greater; for Reissues, see above

SUBTOTAL (2) 0**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee(\$) | Fee Description | Fee Paid |
|----------------|----------------|--|----------|
| 105 | 130 | Surcharge - late filing fee or oath | |
| 127 | 50 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | Non-English specification | |
| 147 | 2520 | For filing a request for reexamination | |
| 112* | 920 | Requesting publication of SIR prior to Examiner action | |
| 113* | 1840 | Requesting publication of SIR after Examiner action | |
| 115 | 110 | Extension for reply within first month | |
| 116 | 400 | Extension for reply within second month | |
| 117 | 920 | Extension for reply within third month | |
| 118 | 1440 | Extension for reply within fourth month | |
| 128 | 1960 | Extension for reply within fifth month | |
| 119 | 320 | Notice of Appeal | |
| 120 | 320 | Filing a brief in support of an appeal | |
| 121 | 280 | Request for oral hearing | |
| 195 | 300 | Publication fee | |
| 140 | 110 | Petition to revive - unavoidable | |
| 141 | 1280 | Petition to revive - unintentional | |
| 142 | 1280 | Utility issue fee (or reissue) | |
| 143 | 460 | Design issue fee | |
| 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 740 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | Request for Continued Examination (RCE) | |
| 169 | 900 | Request for expedited exam of a design application | |

Other fee (specify):

SUBTOTAL (3) 40**SUBMITTED BY**

Typed or Printed Name Robert B. Levy

Complete (if applicable)

Reg. Number 28234

Signature

Date

11/7/01

Deposit Account User ID

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor Arvind S Chakravarti

Title *Proactive Predictive Preventative Network
Management Technique*

Atty. Docket Number 2000-0534

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U. S. C. 122(b).

11/07/2001

Date


Signature

Robert B. Levy

Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U. S. C. 122(b)(2)(B)(iii)).**